

## FinSA Client Information

Based on the legal requirements of Art. 8 ff. of the Financial Services Act (FinSA), we would like to provide you with this information sheet to give you an overview of Kieger AG (Kieger) and its services. We ask you to take note of this information.

### Company information

Kieger AG  
Limmatstrasse 264  
8005 Zurich  
Switzerland  
Phone: +41 44 444 18 44  
E-Mail: [info@kieger.com](mailto:info@kieger.com)  
Website: [www.kieger.com](http://www.kieger.com)

Kieger is an independent asset management company with a specific emphasis on Healthcare, Private Equity and Multi Asset portfolios. Kieger devote particular attention to Sustainability, not only in selecting investments but also in conducting our own business. Founded in 2000, the company is based in Switzerland with its headquarters in Zurich and subsidiaries in Luxembourg and Guernsey.

More information is available on our website [www.kieger.com](http://www.kieger.com)

### Supervisory status

For our activities as managers of collective investment schemes in accordance with the Swiss Federal Law on Financial Institutions, we are licensed by the Swiss Financial Market Supervisory Authority FINMA and are subject to its supervision.

Swiss Financial Market Supervisory Authority FINMA  
Laupenstrasse 27  
3003 Bern  
Switzerland  
Phone: +41 31 327 91 00  
E-Mail: [info@finma.ch](mailto:info@finma.ch)  
Website: [www.finma.ch/en](http://www.finma.ch/en)

## Ombudsman

Kieger is affiliated with the independent ombudsman office OFD, which is recognised by the Federal Department of Finance. Disputes concerning legal claims between the customer and the financial services provider should be settled by an ombudsman's office, if possible, within the framework of a mediation procedure.

Verein Ombudsstelle Finanzdienstleister (OFD)

Bleicherweg 10

8002 Zurich

Switzerland

Phone: +41 44 562 05 25

E-Mail: [ombudsmann@ofdl.ch](mailto:ombudsmann@ofdl.ch)

Website: [www.ofdl.ch/en](http://www.ofdl.ch/en)

## Information on the financial service offered

Kieger provides investment management and advisory services to a series of funds domiciled in Luxembourg and Guernsey which are available to retail and/or qualified investors.

Upon request, Kieger provides its clients with transaction-related investment advisory services or portfolio-related asset management services or prepares a consolidated asset overview.

In a transaction-based investment advisory mandate with Kieger, clients receive a personal recommendation relating to individual financial instruments. However, the decision to buy or sell ultimately remains with the client.

A portfolio-related asset management contract is understood to be the management of a total of the client's assets. The client delegates the decision on the investments to Kieger (based on a limited power of attorney), whereby the decision is made in accordance with the agreed investment strategy.

For detailed information on the essential rights and obligations arising from an investment advisory or asset management agreement, please refer to the relevant agreements between Kieger and its clients.

## Client segmentation

Based on the information available to Kieger, Kieger allocates its clients in one of the three categories defined in Art. 4 FinSA:

- Retail Clients
- Professional Clients
- Institutional Clients

Provided retail clients meet the necessary requirements, there is the option to change to a professional client status.

## Information on risks and costs

### General risks in trading with financial instruments

The investment advisory and asset management services and financial products entail financial risks. For further details kindly refer to the brochure entitled "[Risks in Trading with Financial Instruments](#)" provided by SwissBanking before the conclusion of a contract.

### Information on costs

A fee is charged for the services rendered, which is calculated on the assets under management or on a performance basis. For more detailed information, please read our Investment Advisory or Asset Management Agreement.

### Information about conflicts of interest

In connection with the financial services offered by Kieger there are no economic ties to third parties; Kieger does not accept any retrocession payments and maintains its independence in this context.

### Information on the market offer and selection of financial instruments

Kieger follows an "open universe approach" and tries to make the best possible choice for the client when selecting financial instruments. Where appropriate, funds managed or advised by Kieger are used in asset management mandates.

Zurich, 25 November 2020